



05-31-01

A4

\$SECTOR

EXPRESS MAIL NO. EL700670835US
Attorney Docket No. P4816
Client/Matter No. 80168.0095.001

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan F. McCARTNEY, et al.

Serial No. 09/772,038

Filed: January 29, 2001

For: SYSTEM AND METHOD FOR DYNAMICALLY
PUBLISHING XML-COMPLIANT DOCUMENTS

Group Art Unit: 2661

Examiner:

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents
Washington, D.C. 20231

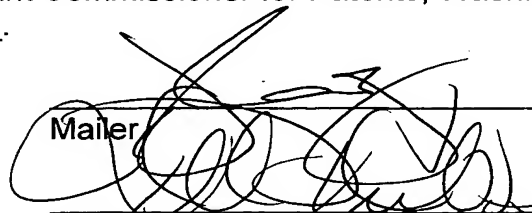
Sir:

The undersigned hereby certifies that the following documents:

1. Notice to File Missing Parts of Nonprovisional Application;
 2. Response to Notice to File Missing Parts;
 3. Executed Combined Declaration and Power of Attorney
 4. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and
Recording Fee of \$40.00
 5. Preliminary Amendment
 6. Return postcard
 7. Certificate of Mailing By Express Mail
- relating to the above application, were deposited as "Express Mail", Mailing Label
No. EL700670835US, with the United States Postal Service, addressed to Box
PATENT APPLICATION, The Assistant Commissioner for Patents, Washington,
D.C., 20231, 30 May 2001.

30 May 2001
Date

30 May 01
Date

Mailer 

William J. Kubida, Reg. No. 29,664
HOGAN & HARTSON_{LLP}
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax



Attorney Docket No. P4816
Client/Matter No. 80168.0095.001
Express Mail No. EL700670835US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan F. McCARTNEY et al.

Serial No. 09/772,038

Filed: January 29, 2001

For: SYSTEM AND METHOD FOR
DYNAMICALLY PUBLISHING XML-
COMPLIANT DOCUMENTS

Group Art Unit: 2661

Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed March 30, 2001, submitted herewith is a signed Declaration for Patent Application; a check in the amount of \$130 to cover the surcharge for a large entity; a Preliminary Amendment, and a copy of the PTO Notice form. Any fee deficiency associated with this communication may be charged to Deposit Account No. 50-1123.

In view of the large entity status to which the application is entitled, it is believed that the original filing fee paid was correct and that only the surcharge fee remains to be paid.

Also enclosed is a Recordation Form Cover Sheet PTO 1595 with executed Assignment and recording fee of \$40.00. Please forward the Assignment to the Recording Branch for recording.

Dated: 30 May, 2001

William J. Kubida
Registration No. 29,664
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax



Atty. Docket No. P4816
Client Matter No. 80168.0095.001
Express Mail Label No. EL700670835US

In re Application of:

Alan F. McCARTNEY et al.

Serial No. 09/772,038

Filed: January 29, 2001

For: SYSTEM AND METHOD FOR
DYNAMICALLY PUBLISHING XML-
COMPLIANT DOCUMENTS

Examiner:

Art Unit: 2661

PRELIMINARY AMENDMENT
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please enter the following amendment to the above-referenced application:

IN THE SPECIFICATION:

On page 1, please replace the paragraph starting on line 2 with the following paragraph:

--The present application claims the benefit of U.S. Provisional Patent Application Serial No. 60/184,812, filed February 24, 2000.--

VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE SPECIFICATION:

On page 1, please amend the paragraph starting on line 2 as follows:

--The present application claims the benefit of U.S. Provisional Patent Application Serial No. 60/184,[182]812, filed February 24, 2000.--

REMARKS

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

30 May, 2001

Respectfully submitted,

William J. Kubida, Atty. Reg. No. 29,664
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,038	01/29/2001	Alan F. McCartney	P4816

CONFIRMATION NO. 9024

FORMALITIES LETTER



William J. Kubida, Esq.
Hogan & Hartson, LLP
Suite 1500
1200 17th Street
Denver, CO 80202

Date Mailed: 03/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

05/04/2001 MKSUF1 00000112 09772038

01 72:105

130.00 0P